

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

ALICE KRAMER, as Personal Representative of the
Estate of Arthur Kramer,

Plaintiff,

-against-

LOCKWOOD PENSION SERVICES, INC., TALL
TREE ADVISORS, INC., LIFE PRODUCTS
CLEARING, LLC, TRANSAMERICA OCCIDENTAL
LIFE INSURANCE CO., PHOENIX LIFE
INSURANCE CO., LINCOLN LIFE & ANNUITY CO.
OF NEW YORK and JONATHAN S. BERCK,

Defendants.

ECF Case

08 Civ. 2429 (DAB) (MHD)

RULE 7.1 STATEMENT

PHOENIX LIFE INSURANCE CO.,

Third-Party Plaintiff,

-against-

STEVEN LOCKWOOD,

Third-Party Defendant.

Pursuant to Federal Rule of Civil Procedure 7.1 and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Defendant Life Product Clearing LLC ("LPC") certifies that LPC has no corporate parents, affiliates, and/or subsidiaries which are publicly held. The undersigned counsel further certifies that no publicly held company owns 10% or more of the shares of LPC.

Dated: New York, New York
June 20, 2008

CHADBOURNE & PARKE LLP

By /s/ Robert A. Schwinger
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